

IAP9 Rec'd PCT/PTO 29 AUG 2006

"Express Mail" mailing label number ED 987389572 US.

I hereby certify that this document and referenced attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to: Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450 on (DATE) 29 AUG 2006

Printed: Adam Warwick Bell

Signed: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. National Phase Application of: Raymond Rodriguez and Mark Magbanua  
Title: The Use of Lunasin Peptide as a Transcriptional Activator to Prevent Cancer and Related Methods for Treatment, Monitoring and Prognosis

Serial No.:	Not yet assigned
Filing Date:	Not yet assigned
Examiner:	Not yet assigned
Group Art Unit:	Not yet assigned
International application number:	PCT/US2005/004868
International Filing Date:	15 <sup>th</sup> February 2005
Priority Data:	1 <sup>st</sup> March 2004 (60/549,487) 21 <sup>st</sup> October 2004 (60/621,217)
Publication number:	WO 2005/091823
DOCKET No.	UCD-2004-404-WO-US
Customer No.	039843

---

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Dear Sir:

This Preliminary Amendment accompanies the simultaneously-filed patent application filed under 35 U.S.C. 371.

Prior to examination and before calculation of the filing fee for the above-referenced patent application, please enter the following amendments: